

Specialist- und Market Maker-Verpflichtungen im Handel mit Wertpapieren (Specialist- und Market Maker-Obligation's in Securities Trading) / Stand (as of): 02.04.2012

Handelssystem XETRA / Handelsverfahren Fortlaufender Handel (Trading System XETRA / Continuous Trading)

I. equity market.at / prime market

		Aktien bzw. ADCs der (shares or ADCs of)	XETRA-Kürzel (Xetra-Code)	Baader Bank AG	bw Bank für Investments und Wertpapiere AG	Credit Agricole Cheuvreux S.A.	Deutsche Bank AG	Erste Group Bank AG	EUFRO Invest Bank AG	ICF Kursmakler AG	Lang & Schwarz Broker GmbH	Oberbank AG	Raiffeisen Centralbank AG	Timber Hill (Europe) AG	UniCredit Bank AG	WOOD & Company Financial Services, a.s.	SUMME (Summary)		Max-Spread in % Einschleifregelung		Min-Size in Stk. (pieces)		Max-Spread Einschleifregelung (ix 5p read with absolute value)				
				BALFR	ETRLB	CHVPA	DBKFR	EBOWI	EIBVI	ICFFR	LSRDU	OBBLI	CENWI	TIMZG	BVMMU	WOCPR	M	S	M	S	M	S	M	S			
AT0000603709	O	AGRANA Beteiligungs-AG	AGR		M								S (M)				2	4 %	- 0,2 =	3,8 %	250	+ 0 =	250			→	
AT00000AMAG3		AMAG Austria Metall AG	AMAG					S (M)					M				2	3 %	- 0,0 =	3,0 %	1.050	+ 1.100 =	2.150			→	
AT0000730007	X5 O	Andritz AG	ANDR	M				S (M)					M	M			4	1 %	- 0,0 =	1,0 %	550	+ 1.400 =	1.950			→	
AT0000969985		AT & S Austria Technologie & Systemtechnik AG	ATS										S (M)				1	4 %	- 0,0 =	4,0 %	2.000	+ 250 =	2.250			→	
AT00000BENE6		BENE AG	BENE										S (M)				1	4 %	- 0,0 =	4,0 %	2.000	+ 1.650 =	3.650	€ 0,20	€ 0,20	→	
AT0000737705	O F	BWT AG	BWT					M*)					S (M)				2	4 %	- 0,0 =	4,0 %	1.450	+ 800 =	2.250			→	
AT0000641352	X	CA Immobilien Anlagen AG	CAI		M			S (M)					M				3	3 %	- 0,0 =	3,0 %	2.500	+ 2.500 =	5.000			→	
AT0000499900		Century Casinos Inc.	CNTY										S (M)				1	4 %	- 0,0 =	4,0 %	2.000	+ 500 =	2.500	€ 0,20	€ 0,20	→	
AT0000697750	X O	conwert Immobilien Invest SE	CWI		M			M					S (M)				3	3 %	- 0,0 =	3,0 %	2.500	+ 2.450 =	4.950			→	
AT0000818802		DO & CO Restaurants & Catering AG	DOC					M							S (M)		2	4 %	- 0,2 =	3,8 %	650	+ 250 =	900			→	
AT0000652011	X5 O F	Erste Group Bank AG	EBS					S (M)					M	M	M		4	1 %	- 0,0 =	1,0 %	2.350	+ 9.700 =	12.050			→	
AT0000741053	X O F	EVN AG	EVN					M					S (M)	M			3	3 %	- 0,0 =	3,0 %	2.500	+ 2.150 =	4.650			→	
AT0000911805	O F	Flughafen Wien AG	FLU					M					S (M)				2	3 %	- 0,0 =	3,0 %	700	+ 200 =	900			→	
AT0000762406		Frauenthal Holding AG	FKA		S (M)												1	4 %	- 0,0 =	4,0 %	2.000	+ 0 =	2.000			→	
AT0000764626		HTI High Tech Industries AG	HTI						S (M)								1	4 %	- 0,0 =	4,0 %	2.000	+ 0 =	2.000	€ 0,10	€ 0,10	→	
AT0000809058	X5 O	IMMOFINANZ AG	IIA					S (M)					M	M			3	1 %	- 0,0 =	1,0 %	3.000	+ 59.800 =	62.800	€ 0,10	€ 0,10	→	
AT0000612601	O F	Intercell AG	ICLL					M					S (M)	M*)			3	4 %	- 0,0 =	4,0 %	2.000	+ 1.800 =	3.800	€ 0,20	€ 0,20	→	
AT000KAPSCH9		Kapsch TrafficCom AG	KTCG			S (M)							M				2	3 %	- 0,6 =	2,4 %	300	+ 300 =	600			→	
AT0000644505	X O	Lenzing AG	LNZ					M				M	S (M)				3	3 %	- 0,5 =	2,5 %	350	+ 250 =	600			→	
AT0000938204	X O F	Mayr-Melnhof Karton AG	MMK					M					S (M)	M			3	3 %	- 0,0 =	3,0 %	400	+ 400 =	800			→	
AT0000743059	X5 O F	OMV AG	OMV					S (M)					M	M			3	1 %	- 0,1 =	0,9 %	1.500	+ 4.750 =	6.250			→	
AT0000APOST4	X O F	Österreichische Post AG	POST					S (M)					M	M			3	2 %	- 0,0 =	2,0 %	1.150	+ 2.700 =	3.850			→	
AT0000758305	O	PALFINGER AG	PAL			S (M)		M					M				3	4 %	- 0,0 =	4,0 %	1.050	+ 1.300 =	2.350			→	
AT0000A00XX9		POLYTEC Holding AG	PYT					M					S (M)				2	4 %	- 1,0 =	3,0 %	2.000	+ 300 =	2.300			→	
AT0000606306	X O F	Raiffeisen Bank International AG	RBI					S (M)					M	M			3	2 %	- 0,0 =	2,0 %	1.150	+ 2.900 =	4.050			→	
AT0000676903	X O F	RHI AG	RHI					S (M)					M	M			3	3 %	- 0,0 =	3,0 %	1.550	+ 3.100 =	4.650			→	
AT0000922554		Rosenbauer International AG	ROS		M			M				M	S (M)				4	3 %	- 0,1 =	2,9 %	500	+ 150 =	650			→	
AT0000652250		S IMMO AG	SPI		M			S (M)									2	3 %	- 0,0 =	3,0 %	2.000	+ 5.300 =	7.300	€ 0,15	€ 0,15	→	
AT0000946652	X O F	SCHOELLER-BLECKMANN OILFIELD EQUIPMENT AG	SBO			S (M)		M					M	M			4	3 %	- 1,0 =	2,0 %	450	+ 800 =	1.250			→	
AT0000785555	O	Semperit AG Holding	SEM					M					S (M)				2	4 %	- 0,0 =	4,0 %	600	+ 300 =	900			→	
AT000000STR1	X O F	STRABAG SE	STR					S (M)					M				2	2 %	- 0,0 =	2,0 %	1.300	+ 3.100 =	4.400			→	
AT0000720008	X5 O F	Telekom Austria AG	TKA					S (M)					M	M			3	2 %	- 0,0 =	2,0 %	2.500	+ 12.100 =	14.600			→	
AT0000821103	O F	UNIQA Versicherungen AG	UQA					M					S (M)				2	4 %	- 0,0 =	4,0 %	1.550	+ 1.150 =	2.700			→	
AT0000746409	X O F	VERBUND AG	VER					S (M)					M	M			3	2 %	- 0,0 =	2,0 %	1.300	+ 3.400 =	4.700			→	
AT0000908504	X O F	VIENNA INSURANCE GROUP AG Wiener Versicherung Gruppe ("Vienna Insurance Group")	VIG					S (M)					M	M			3	2 %	- 0,0 =	2,0 %	900	+ 2.650 =	3.550			→	
AT0000937503	X5 O F	voestalpine AG	VOE	M				S (M)					M	M			4	1 %	- 0,0 =	1,0 %	1.600	+ 5.650 =	7.250			→	
AT0000827209		Warimpex Finanz- und Beteiligungs AG	WXF					M					S (M)				2	4 %	- 0,2 =	3,8 %	2.000	+ 5.200 =	7.200	€ 0,20	€ 0,19	→	
AT0000831706	X O F	Wienerberger AG	WIE					S (M)					M	M			3	2 %	- 0,0 =	2,0 %	2.500	+ 12.650 =	15.150			→	
AT0000834007	O	Wolford AG	WOL										S (M)				1	4 %	- 0,0 =	4,0 %	850	+ 200 =	1.050			→	
AT0000837307	X O F	Zumtobel AG	ZAG					S (M)					M	M			3	3 %	- 0,0 =	3,0 %	2.500	+ 3.850 =	6.350			→	
SUMME (Summary)				40	2	3	5	1	32	0	1	0	2	36	17	0	2	101									

ANPASSUNG / ADJUSTMENT !!!

X = ATX-Stocks
5 = ATXfive-Stocks
O F = Stock-Options / Stock-Futures

S = Specialist
S (M) = Specialist (sonst bzw. vorher MM)

M = Market Maker
M*) = Rücklegung per Ablauf 30.04.2012

II. equity market.at / mid market continuous

ISIN	Aktien bzw. ADCs der (shares or ADCs of)	XETRA-Kürzel (Xetra-Code)	Banken														SUMME (Summary)	M	Max.-Spread in % (ausgen. Einschleifregelung)	Min.-Size in Stk	Max.-Spread Einschleifregelung (fix-Spread with absolute value)
			BALFR	ETRBL	CHVPA	DBKFR	EBOWI	EIBVI	ICFFR	LSRDU	OBBLI	CENWI	TIMZG	BVMMU	WOCPR	WOOD & Company Financial Services, a.s.					
AT000BINDER3	binder+co AG	BIND							M									1	4 %	500	
AT0000645403	KTM Power Sports AG	KTM																1	4 %	350	
AT0000800800	Pankl Racing Systems AG	PARS							M									1	4 %	850	
AT0000776307	Sanochemia Pharmazeutika AG	SAC								M								1	4 %	2.000	€ 0,20
AT0000816301	Unternehmens Invest AG	UIV							M									1	4 %	1.150	
SUMME (Summary)			5	0	0	0	0	0	3	1	0	1	0	0	0	0	5				

ANPASSUNG / ADJUSTMENT !!

III. equity market.at / standard market continuous

ISIN	Aktien bzw. ADCs der (shares or ADCs of)	XETRA-Kürzel (Xetra-Code)	Banken														SUMME (Summary)	M	Max.-Spread in % (ausgen. Einschleifregelung)	Min.-Size in Stk	Max.-Spread Einschleifregelung (fix-Spread with absolute value)
			BALFR	ETRBL	CHVPA	DBKFR	EBOWI	EIBVI	ICFFR	LSRDU	OBBLI	CENWI	TIMZG	BVMMU	WOCPR	WOOD & Company Financial Services, a.s.					
AT00000ATEC9	A-TEC INDUSTRIES AG	ATEC																1	4 %	2.000	€ 0,20
JE00B3DCF752	Atrium European Real Estate Limited, nennwertlose Ordinary Shares	ATRS				M												2	4 %	2.000	€ 0,20
AT0000820659	BRAIN FORCE HOLDING AG	BFC		M														1	4 %	2.000	€ 0,10
AT0000849757	HIRSCH Servo AG	HIS							M									1	4 %	1.150	
AT0TEAKHOLZ8	TEAK HOLZ INTERNATIONAL AG	TEAK		M														2	4 %	2.000	€ 0,20
SUMME (Summary)			5	0	2	0	1	0	0	1	0	0	3	0	0	0	7				

ANPASSUNG / ADJUSTMENT !!!

IV. other securities.at

ISIN	Aktien bzw. ADCs der (shares or ADCs of)	XETRA-Kürzel (Xetra-Code)	Banken														SUMME (Summary)	M	Max.-Spread in % (ausgen. Einschleifregelung)	Min.-Size in Stk	Max.-Spread Einschleifregelung (fix-Spread with absolute value)
			BALFR	ETRBL	CHVPA	DBKFR	EBOWI	EIBVI	ICFFR	LSRDU	OBBLI	CENWI	TIMZG	BVMMU	WOCPR	WOOD & Company Financial Services, a.s.					
AT0000A0K1J1	AutoBank AG	AW2		M														1	5 %	1.000	€ 0,25
DE000A0DNAY5	bet-at-home.com AG	BAH										M						1	5 %	350	
GI000A0MV757	bwin.party digital entertainment plc, Depository Interests	BPTY									M							1	5 %	1.000	€ 0,25
GB00B5KMXN41	Tangibar Group PLC	TAN							M									1	5 %	1.000	€ 0,10
SUMME (Summary)			4	0	1	0	0	0	1	0	2	0	0	0	0	0	4				

ANPASSUNG / ADJUSTMENT !!!

O = Stock-Options
F = Stock-Futures

M = Market Maker
M* = Rücklegung per Ablauf ...

V. structured products.at / exchange traded funds

	passiv verwaltete Investmentfonds (passively managed investment funds)	XETRA-Kürzel (Xetra-Code)	The Royal Bank of Scotland (N.V.) - London Branch	UniCredit Bank AG	Erste Group Bank AG	Flow Traders B.V.	Schmiedge Wertpapierhandelsbank AG	Société Générale S.A.	SUMME (Summary)	Max-Spread in %	Min-Size in Stk. (pieces)	
			ABNLO	BVMMU	EBOWI	NEDAM	SIGFR	SOGFR		M	M	
DE000A0D8Q23	iShares ATX (DE)	EX01		M					1	1,5 %	1.400 Stk.	→
AT0000A00EH2	ESPA STOCK NTX	NTX1			M				1	3,0 %	2.200 Stk.	
FR0010204073	LYXOR EST EUROPE	LY10						M	1	2,5 %	3.450 Stk.	
FR0007054358	LYXOR EURO STOXX 50	LY11						M	1	2,5 %	3.450 Stk.	
FR0007056841	LYXOR DJIA	LY12						M	1	2,5 %	1.000 Stk.	→
FR0007075494	LYXOR DJ GLOBALTITANS 50	LY13						M	1	2,5 %	5.650 Stk.	
LU0249326488	RBS MA Jim Rogers International Commodity Index	MA01	M						1	3,0 %	1.100 Stk.	→
LU0259320728	RBS MA RIC1 SM -Metals Index	MA02	M						1	3,0 %	250 Stk.	
LU0259321452	RBS MA RIC1 SM -Agriculture Index	MA03	M						1	3,0 %	300 Stk.	
LU0259322260	RBS MA NYSE Arca Gold BUGS Index	MA04	M						1	3,0 %	200 Stk.	
LU0269999362	RBS MA Dow Jones Turkey Titans 20 Index SM	MA05	M						1	3,0 %	250 Stk.	
LU0270000028	RBS MA FTSE/JSE Africa Top 40 Index	MA06	M						1	3,0 %	1.050 Stk.	
LU0259329869	RBS MA South-East Europe Index	MA07	M						1	3,0 %	2.700 Stk.	
LU0259323235	RBS MA DAXglobal Asia Index	MA08	M						1	3,0 %	850 Stk.	
LU0269999792	RBS MA DAXglobal BRIC Index	MA09	M						1	3,0 %	700 Stk.	→
LU0269999958	RBS MA DAXglobal Russia Index	MA10	M						1	3,0 %	750 Stk.	→
SUMME (Summary)		16	10	1	1	0	0	4	16			

ANPASSUNG / ADJUSTMENT !!!

M = Market Maker
M*) = Rücklegung per Ablauf ...

Specialist- und Market Maker-Verpflichtungen im Handel mit Optionen und Finanzterminkontrakten
(Specialist- und Market Maker-Obligation's in Derivatives Trading) / Stand (as of): 02.04.2012

Handelssystem Eurex / Handelsverfahren Fortlaufender Handel (Trading System Eurex / Continuous Trading)

I. derivatives market.at / austrian derivatives

	Eurex-Kurzziel (Eurex-Code)	EBOVE	CENVE	THEVE	UNIVE	SUMME (Summary)	Berechnungs- Max-Spread	in %	Min-Size in Kontrakten	
										EBOVE
Austrian Index Products	AT0000999982	Austrian Traded Index (ATX) - Options	OATX	M	M	M	3	0.75 %	10	
	AT0000999982	Austrian Traded Index (ATX) - Futures	FATX	M	M	M	M	4	0.75 %	10
	AT0000634605	ATX five - Options	OATF	M	M	M		3	0.75 %	10
	AT0000634605	ATX five - Futures	FATF	M	M	M	M	4	0.75 %	10
	AT0000803226	Immobilien-ATX (IATX) - Futures	FIAX	M				1	2.00 %	10
AT0000A0NCU7	ATX DVP (AXD) - Futures	FAXD		M			1	5.00 %	20	
Austrian Stock Options	AT0000603709	AGRANA Beteiligungs-AG	AGR		M		1	3.0 %	10	
	AT0000730007	X5 Andritz AG	AND	M	M	M		3	2.0 %	10
	AT0000737705	BWT AG	BWT	M*	M			2	3.0 %	30
	AT0000697750	X conwert Immobilien Invest SE	CWI		M			1	3.0 %	40
	AT0000652011	X5 Erste Group Bank AG	EBS	M	M	M	M	4	2.0 %	30
	AT0000741053	X EVN AG	EVN	M	M	M	M	4	3.0 %	30
	AT0000911805	Flughafen Wien AG	FLU	M	M		M	3	3.0 %	20
	AT0000809058	X5 IMMOFINANZ AG	IIA	M	M	M		3	2.0 %	40
	AT0000612601	Intercell AG	ICL	M	M			2	3.0 %	40
	AT0000644505	X Lenzing AG	LNZ		M			1	3.0 %	10
	AT0000938204	X Mayr-Melnhof Karton AG	MMK	M	M			2	3.0 %	10
	AT0000743059	X5 OMV AG	OMV	M	M	M	M	4	2.0 %	20
	AT0000APOST4	X Österreichische Post AG	PST	M	M	M		3	2.5 %	20
	AT0000758305	Palfinger AG	PAL	M	M	M		2	3.0 %	30
	AT0000606306	X Raiffeisen Bank International AG	RBI	M	M	M		3	2.5 %	20
	AT0000676903	X RHI AG	RHI	M	M	M	M	4	3.0 %	30
	AT0000946652	X SCHOELLER-BLECKMANN OILFIELD EQUIPMENT AG	SBO	M	M	M		3	3.0 %	10
	AT0000785555	Semperit AG Holding	SEM		M			1	3.0 %	20
	AT000000STR1	X STRABAG SE	STR	M	M	M		3	2.5 %	30
	AT0000720008	X6 Telekom Austria AG	TKA	M	M	M	M	4	2.5 %	40
	AT0000821103	UNIQA Versicherungen AG	UQA	M	M			2	3.0 %	30
	AT0000746409	X VERBUND AG	VER	M	M	M	M	4	2.5 %	30
	AT0000908504	X VIENNA INSURANCE GROUP AG Wiener Versicherung Gruppe ("Vienna Insurance Group")	VIG	M	M	M	M	4	2.5 %	20
	AT0000937503	X5 voestalpine AG	VOE	M	M	M	M	4	2.0 %	30
	AT0000831706	X Wienerberger AG	WIE	M	M	M	M	4	2.5 %	40
AT0000834007	Wolford AG	WOL		M			1	3.0 %	30	
AT0000837307	X Zumtobel AG	ZAG	M	M			2	3.0 %	30	
Austrian Stock Futures	AT0000737705	BWT AG	BWTF	M			1	4.0 %	15	
	AT0000652011	X5 Erste Group Bank AG	EBSF	M			1	1.0 %	15	
	AT0000741053	X EVN AG	EVNF	M			1	3.0 %	15	
	AT0000911805	Flughafen Wien AG	FLUF	M			1	3.0 %	10	
	AT0000612601	Intercell AG	ICLF	M			1	4.0 %	20	
	AT0000938204	X Mayr-Melnhof Karton AG	MMKF	M			1	3.0 %	5	
	AT0000743059	X5 OMV AG	OMVF	M			1	1.0 %	10	
	AT0000APOST4	X Österreichische Post AG	PSTF	M			1	2.0 %	10	
	AT0000606306	X Raiffeisen Bank International AG	RBIF	M	M		2	2.0 %	10	
	AT0000676903	X RHI AG	RHIF	M			1	3.0 %	15	
	AT0000946652	X SCHOELLER-BLECKMANN OILFIELD EQUIPMENT AG	SBOF	M			1	3.0 %	5	
	AT000000STR1	X STRABAG SE	STRF	M			1	2.0 %	15	
	AT0000720008	X6 Telekom Austria AG	TKAF	M			1	2.0 %	20	
	AT0000821103	UNIQA Versicherungen AG	UQAF	M			1	4.0 %	15	
	AT0000746409	X VERBUND AG	VERF	M			1	2.0 %	15	
	AT0000908504	X VIENNA INSURANCE GROUP AG Wiener Versicherung Gruppe ("Vienna Insurance Group")	VIGF	M			1	2.0 %	10	
	AT0000937503	X5 voestalpine AG	VOEF	M			1	1.0 %	15	
	AT0000831706	X Wienerberger AG	WIEF	M			1	2.0 %	20	
	AT0000837307	X Zumtobel AG	ZAGF	M			1	3.0 %	15	
	SUMME (Summary)		52	46	33	19	12	110		

ANPASSUNG / ADJUSTMENT IIII

ANPASSUNG / ADJUSTMENT IIII

II. derivatives market.at / cee derivatives

	Eurex-Kurzziel (Eurex-Code)	EBOVE	CENVE	THEVE	BVMVE	SUMME (Summary)	
							EBOVE
AT0000A0NCV5	CECE EUR DVP (CED)	FCED		M		1	
AT0000726476	CECE-Index in Euro	FCXE	M	M	M	3	
AT0000A00DT9	CECEExt in Euro	FCCE	M	M		2	
AT0000726443	Czech Traded Index in Euro	FCTE	M	M		2	
AT0000726435	Hungarian Traded Index in Euro	FHTE		M		1	
AT0000496476	New Europe Blue Chip Index	FNTX	M			1	
AT0000726450	Polish Traded Index in Euro	FPTE	M	M		2	
AT0000802079	Russian Depository Index in Euro	FRDX		M	M	2	
AT0000634076	Russian Depository Index in US-Dollar	FRDU		M		1	
AT0000999602	Russian Traded Index in US-Dollar	FRTX		M		1	
SUMME (Summary)		10	5	9	0	2	16

X = ATX Stocks
5 = ATXfive Stocks

M = Market Maker
M* = Rücklegung per Ablauf 30.04.2012